



STOCK SETTLEMENT INSTRUCTION FORM 股票交收指示

Client Name 客戶姓名 :	Date 日期 :
Account No. 帳戶號碼 :	Contact No. 聯絡電話 :

Instruction Type 交收指示類別 :	<input type="checkbox"/> RECEIVE the following stocks to my account 將下列股票 存入 到本人上帳戶 <input type="checkbox"/> DELIVER the following stocks from my account 由本人上述帳戶 提取 下列股票 <input type="checkbox"/> SI <input type="checkbox"/> ISI
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And input the following Settlement Instruction into the Central Clearing and Settlement System (CCASS) to effect settlement with details as follows 請將下列交收指示輸入中央結算及交收系統，以便進行交收：

Stock code 股票代號	Stock Name 股票名稱	No. of shares 股數	DVP / FOP	Amount 金額	Handling 手續費	Total Amount 總金額
Please debit the captioned account for any costs or charges so incurred. 請在上述證券帳戶扣取所需費用					Total :	

Name and Participant ID of Counterpart 對手名稱及中央結算編號	Contact Person and Phone No. 聯絡人及聯絡電話	Settlement Date 交收日期

Purpose 目的:		
<input type="checkbox"/> C=Broker-Custodian Transaction 經紀與托管者之交易	<input type="checkbox"/> M=Portfolio Movement 組合轉移	<input type="checkbox"/> R=Return Recall 撤回交收
<input type="checkbox"/> L=Stock Borrowing/Lending 借股/貸股	<input type="checkbox"/> P=Stock Pledging 按股	

I/We confirm that there is no change in beneficial ownership through effecting this SI/ISI. I/We hereby agree to indemnify you against any loss or claim result from your action according to my/our instruction. 本人/吾等確認上述股票的實益擁有權並沒有因進行本交收指示/投資者交收指示而出現變動。本人/吾等 願意賠償貴公司因執行本人/吾等指示所引致之任何損失。

Client Signature 客戶簽署

Date 日期

For Official Use Only 只供本公司使用			
Received by	Approved by	Input by	Checked by