



STOCK SETTLEMENT INSTRUCTION FORM 股票交收指示

Client Name 客户姓名:	Date 日期:
Account No. 帐户号码:	Contact No. 联系电话:

Instruction Type 交收指示类别:	<input type="checkbox"/> RECEIVE the following stocks to my account 将下列股票 存入 到本人上账户 <input type="checkbox"/> DELIVER the following stocks from my account 由本人上述账户 提取 下列股票 <input type="checkbox"/> SI <input type="checkbox"/> ISI
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And input the following Settlement Instruction into the Central Clearing and Settlement System (CCASS) to effect settlement with details as follows 请将下列交收指示输入中央结算及交收系统, 以便进行交收:

Stock code 股票代号	Stock Name 股票名称	No. of shares 股数	DVP / FOP	Amount 金额	Handling 手续费	Total Amount 总金额
Please debit the captioned account for any costs or charges so incurred. 请在上述证券账户扣取所需费用					Total :	

Name and Participant ID of Counterpart 对手名称及中央结算编号	Contact Person and Phone No. 联络人及联系电话	Settlement Date 交收日期

Purpose 目的:		
<input type="checkbox"/> C=Broker-Custodian Transaction 经纪与托管者之交易	<input type="checkbox"/> M=Portfolio Movement 组合转移	<input type="checkbox"/> R=Return Recall 撤回交收
<input type="checkbox"/> L=Stock Borrowing/Lending 借股/贷股	<input type="checkbox"/> P=Stock Pledging 按股	

I/We confirm that there is no change in beneficial ownership through effecting this SI/ISI. I/We hereby agree to indemnify you against any loss or claim result from your action according to my/our instruction. 本人/吾等确认上述股票的实益拥有权并没有因进行本交收指示/投资者交收指示而出现变动。本人/吾等 愿意赔偿贵公司因执行本人/吾等指示所引致之任何损失。

Client Signature 客户签署

Date 日期

For Official Use Only 只供本公司使用			
Received by	Approved by	Input by	Checked by



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